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Express Mail No.: EL 501 640 613 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Müller, Ulrich

Application No.: 09/842,438

Group Art Unit: 2163

Filed: April 26, 2001

Examiner: Not yet assigned

For: METHODS FOR DETERMINING
VALUE AT RISK

Attorney Docket No.: 10366-010

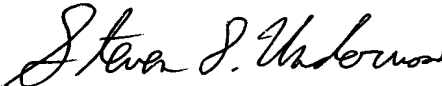
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATIONAssistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application mailed on June 19, 2001, a copy of which is enclosed, applicants submit herewith, pursuant to 37 CFR 1.63 and MPEP § 201.06(c), a Declaration for Non-Provisional Patent Application executed by the inventor, along with a Power of Attorney executed by an authorized officer of the assignee, and a Verified Statement Claiming Small Entity Status. An Assignment from the inventor to the assignee is simultaneously being submitted under separate cover to Box Assignment.

The fee for the submission of this response is estimated to be \$65 (small entity). Please charge all required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date July 13, 2001 (Reg. No. 47,205)
24,615

For Francis E. Morris

Reg. No.

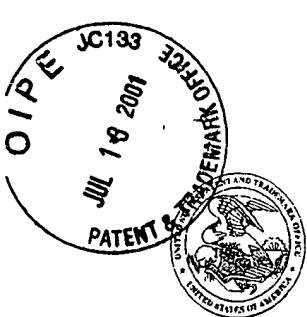
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Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/842,438	04/26/2001	Ulrich A. Muller	10366-010

CONFIRMATION NO. 7498

20583
PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

FORMALITIES LETTER



OC000000006198977

Date Mailed: 06/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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